SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Neville Robert N	2. Date of Event Requiring Statement (Month/Day/Year) 04/27/2017		3. Issuer Name and Ticker or Trading Symbol Savara Inc [ SVRA ]							
(Last) (First) (Middle) 900 S. CAPITAL OF TEXAS HIGHWAY,			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         X       Director         10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)				
SUITE 150			x	Officer (give title below)	Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)				Chief Executive (	Officer		X	Form filed by	y One Reporting Person	
AUSTIN TX 78746								Form filed by Reporting Pe	y More than One erson	
(City) (State) (Zip)										
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock			378,135		D					
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		y (Instr. 4) Conv or Ex		rcise Form:	Ownership Form:		
	Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (Right to Buy)	(1)	09/14/2022	2	Common Stock	99,620		5	D		
Stock Option (Right to Buy)	(2)	12/16/2024	4	Common Stock	64,762	0.65		D		
Stock Option (Right to Buy)	(3)	12/15/2025	5	Common Stock	175,800	1.4	6	D		
Stock Option (Right to Buy)	(4)	12/15/2026	6	Common Stock	146,500	1.7	6	D		

**Explanation of Responses:** 

1. The shares subject to the option vested and became exercisable in sixteen equal quarterly installments beginning on December 14, 2012.

2. The shares subject to the option vest and become exercisable in sixteen equal quarterly installments beginning on March 16, 2015.

3. The shares subject to the option vest and become exercisable in sixteen equal quarterly installments beginning on March 15, 2016.

4. The shares subject to the option vest and become exercisable in sixteen equal quarterly installments beginning on March 15, 2017.

**Remarks:** 

## /s/ Robert N. Neville

\*\* Signature of Reporting Person

05/05/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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